

White Collar Litigation Attorney Alfred U. Pavlis Joins Finn Dixon & Herling as Partner



Related Attorney

Alfred U. Pavlis

Related Practices

Commercial Litigation

Government & Internal Investigations

Date: August 2, 2010

STAMFORD, CT – Finn Dixon & Herling LLP, a law firm headquartered in Stamford, with extensive experience providing corporate, transactional, securities, bankruptcy, investment management and litigation counsel, announced that Alfred U. Pavlis has joined the firm as partner, effective August 1, 2010. Mr. Pavlis represents corporate and individual clients in white collar criminal matters, regulatory investigations, and complex commercial litigation. He also has extensive experience conducting internal investigations on behalf of boards of directors and audit committees. Mr. Pavlis has represented corporations, officers, directors, and employees in a wide variety of government investigations, including federal criminal investigations, SEC enforcement actions, and inquiries by state attorneys general. He has also represented clients in connection with investigations by self-regulated entities, such as the NYSE, the NASD, and FINRA. His prior representations have involved areas such as securities fraud, accounting fraud, insider trading, market timing, trading ahead, market manipulation, public corruption, banking fraud, tax evasion, healthcare fraud, illegal gratuities and kickbacks, environmental violations, antitrust violations, criminal trademark infringement, money laundering and insurance fraud.

“We are thrilled that Al is joining us.” said Michael Herling, administrative partner of the firm. “He brings a wealth of knowledge and experience to our firm in areas of real importance to our sophisticated business clients.”

“I am honored to be joining Finn Dixon & Herling, which is known for its commitment to providing the highest quality legal counsel and client service.” said Pavlis. “I look forward to working with my new colleagues and supporting the firm’s capabilities in government and internal investigations and in commercial litigation.”

Prior to joining Finn Dixon & Herling, Mr. Pavlis was a partner at Daly & Pavlis LLC (Southport, CT) for 10 years. For five years earlier in his career, Al was an Assistant United States Attorney in the Southern District of New York, and in 1990 received the Director’s Award from the U.S. Department of Justice for Superior Performance as an Assistant U.S. Attorney.

Mr. Pavlis is a graduate of the University of Virginia Law School (J.D. 1983) and the University of Pennsylvania (B.A. 1980 Cum Laude). He is admitted to practice law in Connecticut, New York, U.S. District Court, District of Connecticut, U.S. Court of Appeals, Second Circuit, U.S. District Court, Southern and Eastern Districts of New York, and the Supreme Court of the United States.

Mr. Pavlis resides in Fairfield, CT with his wife Deirdre Daly, and three sons. Al is a Trustee and Vice-Chair of St. Vincent’s Medical Center and St. Vincent’s Health Services (Bridgeport, CT).

About Finn Dixon & Herling

Stamford, CT-based Finn Dixon & Herling LLP is a law firm with extensive experience providing corporate, transactional, securities, bankruptcy, investment management and litigation counsel. The firm’s clients include publicly traded companies, privately-held companies, substantial private equity and venture capital groups, banks and financial

institutions, hedge funds, investment advisers, third party marketers, broker-dealers, entrepreneurs, start-up ventures and governmental entities. The firm can be found online at www.fdh.com. Finn Dixon & Herling was the only firm named by Chambers USA 2010 to its top tier, "Band 1", for Corporate/M&A law firms in Connecticut, and has been in the top tier for eight straight years.